

Privacy Policy

This Privacy Policy applies to Schlossberg Institutional Management (“SB”, “we” or “us”) owned and operated websites and its subdomains (“Sites”). SB knows that you care how information about you is used and shared. This Privacy Policy explains what information of yours will be collected by SB when you access the Sites and SB services (including through the websites of our partners), how the information will be used, and how you can control the collection, correction and/or deletion of information. We will not use or share your information with anyone except as described in this Privacy Policy. This Privacy Policy does not apply to information we collect by other means (including offline) or from other sources. The use of information collected through our Sites shall be limited to the purposes under this Privacy Policy.

1. Information We Collect

- **Our service:** We may collect personally identifiable information about you and the company you represent, such as your full name and the name of the company, phone number, email address, or similar, when you apply for our services, including applying for funding, advisory services or to become a member of our investor circle.
- **Cookies And Tracking Technologies:** Technologies such as: cookies, beacons, tags and scripts are used by SB and our partners (such as advertising, marketing and analytics), affiliates or analytics or service providers. These technologies may be used in analyzing trends, administering the Sites, tracking users’ movements around the Sites and to gather demographic information about our user base as a whole. We may receive reports based on the use of these technologies by such companies on an individual as well as aggregated basis. Our partners may also use such technologies to deliver advertisements to you as described below. We use cookies to remember users’ settings as well as for authentication and analytics. Users can control the use of cookies at the individual browser level. If you reject cookies, you may still use our Sites, but your ability to use some features or areas of our Sites may be limited.
- **Log File Information:** Log file information is automatically reported by your browser or mobile application each time you access our Sites. For example, when you access our Sites, our servers automatically record certain information that your web browser sends whenever you visit any website. These server logs may include information such as your operating system, browser type, referring / exit pages and URLs, number of clicks, domain names, landing pages, pages viewed and other such information. We do not link this automatically-collected data to personally identifiable information.
- **Access And Retention Of Data Controlled By Our Clients:** An individual who is a current or former SB client who seeks access or wishes to correct, amend or delete inaccurate data should direct his query to info@schlossbergim.com. An individual who is a client of a current or former SB client who seeks access or wishes to correct, amend or delete inaccurate data should direct his query to the respective client first and then, if no response is obtained or such response is not satisfactory, should contact info@schlossbergim.com. If we receive a request under this provision, we will acknowledge it within seventy-two (72) business hours and handle it promptly.

We will retain personal data we process on behalf of our clients for as long as needed to provide our services. SB will retain this personal information as necessary to comply with our legal obligations, resolve disputes and enforce our agreements.

2. How We Use Your Information

We use the information that we collect to operate and maintain our Sites and the SB Service, by getting and staying in touch with our clients, send you marketing communications and respond to your questions and concerns. We may send you service related emails related to your account. If you no longer wish to receive these emails, you may opt out of them by cancelling your account.

3. How We Share Your Information

- **Personally Identifiable Information:** SB will not rent or sell your personally identifiable information to others. We may store personal information in locations outside the direct control of SB (for instance, on servers or databases co-located with hosting providers). Any personally identifiable information you elect to make publicly available on our Sites or via SB services will be available to others. If you remove information that you have made public on our Sites or the SB Service, copies may remain viewable in cached and archived pages of our Sites or the SB Service, or if other users have copied or saved that information. Our blog is managed by a third party application that may require you to register to post a comment. We do not have access or control of the information posted to the blog. You will need to contact or login into the third party application if you want the personal information

that was posted to the comments section removed. To learn how the third party application uses your information, please review their privacy policy.

- **Non-Personally Identifiable Information:** We may share non-personally identifiable information (such as anonymous usage data, referring/exit pages and URLs, platform types, number of clicks, etc.) with interested third parties to help them understand the usage patterns for certain SB Services and those of our partners. Non-personally identifiable information may be stored indefinitely.
- **Instances Where We Are Required To Share Your Information:** SB will disclose your information where required to do so by law, if subject to subpoena or other legal proceeding or if we reasonably believe that such action is necessary to (a) comply with the law and the reasonable requests of law enforcement; (b) to protect the security or integrity of our services; and/or (c) to exercise or protect the rights, property, or personal safety of SB, our users or others.
- **What Happens In The Event Of A Change Of Control:** We may buy or sell/divest/transfer the company (including any shares in the company), or any combination of its products, services, assets and/or businesses. Your information such as client names and email addresses may be among the items sold or otherwise transferred in these types of transactions. We may also sell, assign or otherwise transfer such information in the course of corporate divestitures, mergers, acquisitions, bankruptcies, dissolutions, reorganizations, liquidations, similar transactions or proceedings involving all or a portion of the company. You will be notified via email and/or a prominent notice on our Site of any change in ownership or uses of your personal information, as well as any choices you may have regarding your personal information.
- **Behavioral Targeting / Re-Targeting:** We may partner with third parties to display advertising on our Sites. Our third party partners may use technologies such as cookies to gather information about your activities on this Site in order to provide you advertising based upon your browsing activities and interests. The only way to completely “opt out” of the collection of any information through cookies or other tracking technology is to actively manage the settings on your browser or mobile device. Please refer to your browser’s or mobile device’s technical information for instructions on how to delete and disable cookies, and other tracking/recording tools (to learn more about cookies, clear gifs/web beacons and related technologies, you may wish to visit <http://www.allaboutcookies.org> and/or the Network Advertising Initiative’s online resources, at <http://www.networkadvertising.org>). If you access the SB Sites on your mobile device, you may not be able to control tracking technologies through the settings.
- **Testimonials:** We may display personal testimonials of satisfied customers on our Site in addition to other endorsements. With your consent, we may post your testimonial along with your name. If you wish to update or delete your testimonial, you can contact us at info@schlossbergim.com.

4. Storage and Processing

Your information collected through the SB Service may be stored and processed in the United States, Switzerland, Europe, or any other country in which SB or its subsidiaries, affiliates or service providers maintain facilities. SB may transfer information that we collect about you, including personal information, to affiliated entities, or to other third parties across borders and from your country or jurisdiction to other countries or jurisdictions around the world.

5. How We Protect Your Information

SB is concerned with protecting your privacy and data, but we cannot ensure or warrant the security of any information you transmit to SB or guarantee that your information used in our services may not be accessed, disclosed, altered or destroyed by breach of any of our industry standard physical, technical or managerial safeguards. No method of transmission over the Internet or method of electronic storage, is 100% secure. Therefore, we cannot guarantee its absolute security. If you have any questions about security on our Site, you can contact us at info@schlossbergim.com.

6. Our Choices About Your Information

For current SB clients, you can review, correct, update or delete inaccuracies to the information about you that SB keeps on file by contacting us directly at info@schlossbergim.com. We will acknowledge your request within seventy-two (72) hours and handle it promptly. We will retain your information for as long as your account is active or as needed to provide you services. We will retain and use your information as necessary to comply with our legal obligations, resolve disputes and enforce our agreements.

7. Children’s Privacy

Protecting the privacy of young children is especially important. For that reason, SB does not knowingly collect or solicit personal information from anyone under the age of 13. In the event that we learn that we

have collected personal information from a child under age 13, we will delete that information as quickly as possible. If you believe that we might have any information from or about a child under 13, please contact us at info@schlossbergim.com.

8. Notification Procedures

It is our policy to provide notifications, whether such notifications are required by law or are for marketing or other business related purposes, to you via email, written or hard copy notice as determined by SB in its sole discretion. We reserve the right to determine the form and means of providing notifications to you, provided that you may opt out of certain means of notification as described in this Privacy Policy.

9. Links to Other Web Sites

We are not responsible for the practices employed by websites or services linked to or from the SB services or Sites, including the information or content contained therein. Please remember that when you use a link to go from the SB services or Sites to another website or service, our Privacy Policy does not apply to third-party websites or services. Your browsing and interaction on any third-party website or service, including those that have a link or advertisement on our website, are subject to that third party's own rules and policies. Social Media Widgets: Our Site includes social media features, such as the Facebook Like button and other widgets, that run on our Site. These features may collect your IP address and which page you are visiting on our Site, and may set a cookie to enable the feature to function properly. Social media features and widgets are either hosted by a third party or hosted directly on our Site. Your interactions with these features are governed by the privacy policy of the organization providing it.

10. Changes to Our Privacy Policy

All changes to this Privacy Policy are effective when they are posted on this page. When we change the policy in a material manner, we will let you know via email and/or a prominent notice on our Site, prior to the change becoming effective and update the 'effective date' at the top of this page. If you have any questions about this Privacy Policy, the practices of this Site, or your dealings with this website, please contact us at info@schlossbergim.com.